



ADM INVESTOR SERVICES, INC.

ADMIS Online Account Application

November 2011

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Overview

❖ Simple, Efficient, Secure

The ADMIS Online Application has been designed to provide a simple, efficient, secure way for an applicant to provide ADMIS and the broker with all the information necessary to open an account. The system also allows the ADMIS New Accounts Department to review the application and the broker to approve the account. While there will always be circumstances where an application may need to be made on paper, the expectation is that a substantial majority of all applications will be completed using the ADMIS Online Application. The system can be accessed via a link that is specific to each office. The ZZZ in this link would be replaced by the office number.:

<https://newaccount.admis.com/OnlineApp.aspx?office=ZZZ>

❖ Online application is the same as the paper application

The Online Application was developed using the existing ADMIS Customer Account Application. No new forms or questions were added to the application. Thus, review by the ADMIS New Accounts Department and the broker can be done the same way as it was for paper applications. The only difference for an online applicant will be a few initial questions to establish his or her identity, create a username and password and determine which forms are needed. **For the broker, there is no difference in the approval process-review the information provided by the applicant, make any additional inquiries, assign a sales code and account number and then check a box to indicate approval and save a copy for the broker's own files.**

❖ Supplemental documents can be uploaded directly to ADMIS

For many individual accounts the entire application can be completed online in 15-20 minutes. The only requirement is an email address which will allow ADMIS to securely provide access to only authorized users. For corporate, LLC, partnership and trust accounts ADMIS has always requested copies of the organizational documents. The online system will allow the applicant to upload electronic versions of those documents directly to the New Accounts Department. Similarly, accounts traded by third parties, hedge accounts and other accounts with special characteristics may also need to supply additional documents and those documents can be uploaded directly to the New Accounts Department.

Overview

❖ Application Process & Broker Review Process described in this guide

The description of the system that follows is divided into the application process and the broker review process. The application pages show the forms that applicants will encounter depending on their characteristics. Completing the forms is simple. Once the information is entered the applicant electronically “signs” the document and moves on. If any required information is omitted, the location with the missing information is highlighted and must be completed before proceeding. The applicant can leave the application at any point and return using the username and password that were created.

❖ Forms needed for each type of account are shown

On the following pages, white or green forms are documents that must be completed by all applicants in the relevant category. Blue forms require manual signatures and may be uploaded into the system after completion. Finally, blue boxes indicate external documents than must be provided.

❖ Broker Approval screens are reviewed

The broker approval section shows the screens that will be used to approve accounts. The broker can select an application, review the documents and approve the application. Once the broker approves the application the New Accounts Department will enter the account into the GMI System and notify the broker that the account has been established and may be funded.

Customer Fills Out Application

ADMIS New Accounts Dept.
Reviews Application

Broker Approves Application



APPLICATION SCREENS



Create Username & Password

- After a Welcome screen which briefly explains the application process, the applicant will be asked to provide an email address, create a username and password. Indicate the name of a broker and select two security questions. The broker name is not required but can help the ADMIS New Accounts Department to place the account in the proper office.
- Completion of this screen will generate an email to the address provided by the user. The email will include a link for the applicant to use in order to continue the application process.

Welcome to the ADMIS Online Application

Step 1 You will create a user name and password for accessing the application throughout the process. If for any reason you need to logoff and complete your application later, all of your information will be saved for you.

Step 2 We will ask you ten questions about your account. Based on your answers, you will receive a customized set of documents to complete.

Step 3 Filing out the application is very straightforward. After collecting your customer information, there will be a number of agreements that you will electronically sign. Some things you will need:

- About 15 to twenty minutes to complete the application.
- For Individual and Joint Accounts you will need Social Security Numbers (US Residents Only).
- For Corporate, LLC, Partnership and Trust Accounts you will need your Tax ID (US entities only).
- For Corporate, LLC, Partnership and Trust Accounts a copy of unexpired government issued ID for all signatories of the application must be provided. Acceptable forms of ID are passports, drivers license, commercial drivers license and state ID.
- For Corporate, LLC, Partnership and Trust Accounts a full copy of the organizational documents (articles of incorporation, articles of organization, partnership agreement, trust agreement) is required.
- For Limited Partnership Accounts, the E-CONFIDENTIAL CREDIT PAGE must be completed on behalf of the Partnership as a whole. For General Partnership Accounts, the E-CONFIDENTIAL CREDIT PAGE must be completed on behalf of 50% or more of the partners, individually.
- For Corporate, LLC, Partnership and Trust Accounts the Corporate Resolution, LLC Authorization, Partnership Authorization and Trust Identification Page must be physically signed by the authorized individuals.
- For accounts with an outside party having trading authorization, discretion and/or limited cover of attorney, a copy of unexpired government issued ID is required if the outside party is a non-registered individual. If the outside party is a registered individual or entity, further documentation may be requested. Acceptable forms of ID for individuals are passports, drivers license, commercial drivers license and state ID.
- If the account is to have a Security Agreement and Assignment of Hedging Account the security agreement must be physically signed by the customer, the financial institution (Secured Party) and solely by ADMIS.
- Employer's Name and Address
- Bank Information
- Credit Information (Income, Net Worth, etc.)
- For ALL ACCOUNTS, the W-9 (U.S. Accounts) or W-8 (Foreign Accounts) must be physically signed.

Step 4 When you are finished, you can submit the application electronically. Our dedicated New Accounts staff will review and process the application. You will receive a notification when this process is complete.

Step 5 Your broker will contact you when your account is open.

Please Note: To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. What that means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

For data system consistency, please type your information in English. Non-English letters will be rejected.

If you are unable to print or sign in hardcopy, please see ADMIS.

Welcome



For technical support call (312) 242-7240 or toll free (888) 332-3647

Already Have a Login

Application Basics

[Introductory](#)

[Create Login](#)

Save & Continue

Decline & Go to Hardcopy

Print Current Document

Submit Application

Instructions:
You need to create a username and password. If you are unable to complete the application for any reason, you may return to the login page at any time and resume the process.

Create Your Username & Password

Email*

Password*

Retype Password*

First Name*

Middle Name

Last Name*

By requesting this Username I certify that I will be an owner of the account or that I am authorized to execute documents binding the corporation, LLC, partnership or trust for which this account will be opened.

* Required

Name of Broker

If you have spoken with a broker in regards to this account, please enter the broker's name below. If you have not spoken to a broker, please leave this field blank.

Name of Broker:

Security Question And Answer

For your security, please select two security questions and provide an answer. This information should be private and personal to you.

Security Question One:*

Answer:*

Security Question Two:*

Answer:*

* Required

Save & Continue

Introductory Screens

Electronic Signature Agreement

Under the Federal Electronic Signatures in Global and National Commerce Act, before ADM Investor Services, Inc. ("ADMIS") may accept your account agreement and related documents electronically, you must provide us with certain of the following information and you must affirmatively agree to the following and thereafter not withdraw your agreement. In addition, we request that you make certain acknowledgments pursuant to the provisions of the Illinois Electronic Commerce Security Act.

Please take a moment to review and acknowledge your understanding and acceptance of this Agreement. All boxes below must be checked and you must affix your electronic signature where indicated in order to continue.

By electronically signing the ADMIS account agreement and related documents, I acknowledge receipt of the ADMIS account agreement, the Commodity Futures Trading Commission's required risk disclosures and other documents contained as part of ADMIS' electronic account package and I agree to be bound by their terms and conditions.

By agreeing below and submitting it to ADMIS via the internet, I acknowledge that:

- I have read and understood the foregoing Electronic Signature Agreement, and that I intend to rely upon it and understand that ADMIS will rely upon it, and that I intend to be bound thereby.
- I understand and agree that electronic signature is the equivalent of a manual signature and that ADMIS may rely on it as such in connection with any and all agreements I may enter into with ADMIS including but not limited to this Electronic Signature Agreement.
- I further acknowledge and agree that it is my obligation to immediately advise ADMIS of any change in my electronic address (i.e., e-mail address).
- I further acknowledge and agree that it is my obligation to immediately advise ADMIS in the event that I withdraw my consent to this Electronic Signature Agreement.
- I acknowledge and agree that various security and verification procedures adopted by ADMIS in connection with documents on which I have affixed my electronic signature constitute a "qualified security procedure" under the Illinois Electronic Commerce Security Act, and that all such signatures are "electronic signatures" (as defined by the Illinois Electronic Commerce Security Act) attributable to me.
- I acknowledge and agree that I am not a family member of the person who is a family member of the person whose name is typed below.
- I acknowledge and agree that I have the right to reject all resulting electronic signatures at though they were affixed by the person whose name is typed below.

I acknowledge and agree that the individual completing this electronic account application is the individual in whose name the account is being requested.

ADM Investor Services, Inc. (12-2008)

Electronic Signature Agreement

PRIVACY POLICY

ADM Investor Services ("ADMIS") and its affiliated introducing broker that introduced your account to ADMIS, (hereinafter jointly called the "Company") are committed to protecting the privacy, accuracy and reliability of any personal information you choose to provide and to safeguard such information from loss, misuse, unauthorized access, disclosure and alteration. This privacy policy statement is provided to you on behalf of the "Company" and addresses the "Company" data collection, use and disclosure practices. This privacy policy may change from time to time and you will receive written notification of any such changes. If your account was introduced to ADMIS by an independent introducing broker or another Futures Commission Member, this privacy policy applies only to how your personal information is handled and processed by ADMIS, not how it is handled and processed by your independent introducing broker or Futures Commission Member.

Personal Information the "Company" Collects and Why
The reason the "Company" collect information is to create and foster ongoing customer relationships. In the normal course of this process, the "Company" obtain and retain non-public (personal) information about you, which is transmitted to us either directly by you or via your broker. This information includes your name, address, tax identification number, approximate age and investment experience and other similar information. In addition, the "Company" may obtain information about you from the "Company" affiliates, credit reporting services, regulatory information providers and similar entities. The "Company" limit such information to such that as not necessary to establish a relationship with you as a customer and to offer accounts and support services in the normal course of business as it relates to your account carried at the "Company".

Use of Personal Information

- The "Company" use your personal information for three general purposes:
- First, the "Company" use the information to approve and activate an account for you and/or to make changes or additions to information previously provided to us to open an account for you.
- Second, the "Company" may use it to give you online access to your account information and the "Company" proprietary information in a secured environment.
- Third, the "Company" use the information in the normal course of business to transmit account activity statements and related documents to you relating to activity on your account with the "Company".

Disclosure of Personal Information

The "Company" take very seriously their responsibility to keep your personal information private. The "Company" will not disclose non-public personal information about our customers unless as permitted by law and in the following instances:

- In processing or servicing of products or services offered by the "Company" that have been consented to requested or authorized by the customer;
- The "Company" may share your personal information with affiliates and subsidiaries and/or unaffiliated third parties only as is necessary to verify the accuracy of the information you have provided, to conduct identity, background and credit history checks, to process or collect payments, to service your account, or for valid purposes and to ensure regulatory compliance;
- The "Company" may be required to disclose personal information by law or legal process for a variety of reasons, including preventing and enforcing the rights or property of the "Company" and particularly reporting trading gains or losses as required by the Internal Revenue Service. The "Company" may also be required to disclose personal information to the regulatory bodies whose jurisdiction is subject to;

Except under the circumstances described above, the "Company" will not disclose any of your personal information to unaffiliated third parties, unless specifically authorized by you in writing to do so. The confidentiality and conditions of this agreement will continue to be maintained even when you cease to do business with the "Company".

How the "Company" Protect Personal Information

The "Company" take reasonable measures to protect your personal information from unauthorized access through the use of physical security and use and access policies for employees. The "Company" safeguard customer information from unauthorized access by limiting the number of employees permitted to access such information through personalized user passwords, and by having in place a process for disciplinary action where appropriate.

Information Sharing with Affiliates of the "Company"

Although by law we are permitted to share information with our affiliates and subsidiaries related to our transactions and experiences with you, it is the policy of the "Company" not to do so without your authorization, except in the limited circumstances referenced above. Accordingly, the "Company" will not share any personal information other than provided by you or obtained from third parties, unless it is necessary to do so for the purposes described above or required by law or regulation, or we have your specific written authorization.

Accuracy of Personal Information

Whenever the "Company" evaluate their information to protect your personal information and ensure its accuracy. Should you identify any inaccuracies in the information you have provided to the "Company", please notify us immediately. We will take reasonable steps to ensure it is accurate.

Privacy Policy

Ten Questions Application Survey

1. **Legal Entity:**
 - Individual (or IRA)
 - Joint (Tenants in Common or Right to Survivorship)
 - Partnership (General or Limited)
 - Corporation
 - Limited Liability Company
 - Trust (Revocable or Irrevocable)
2. **What type of products do you plan to trade?**
 - Commodity Futures and/or Options on Futures Products
 - Foreign Exchange Products
 - Both
3. **Are you doing business under another name?**
 - Yes
 - No
4. **Will this account be traded by a third party?**
 - Yes
 - No

Survey
See next
page for
details

8. **Is any third party:**
 - Personally guaranteeing this account?
 - A financial institution with a security interest in this account?
9. **Is this a established account?**
 - Yes
 - No
10. **Are you?**
 - A U.S. Citizen
 - A Canadian Citizen
 - Neither a US nor a Canadian Citizen

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Survey

The following simple questions will create an application that includes only the necessary forms. Some of these questions will also fill in answers in the application. Answering each question carefully will make the application process much easier.

Ten Questions Application Survey

1. Legal Entity:

- Individual (or IRA)
- Joint (Tenants-in Common or Right to Survivorship)
- Partnership (General or Limited)
- Corporation
- Limited Liability Company
- Trust (Revocable or Irrevocable)

2. What type of products do you plan to trade?

- Commodity Futures and/or Options on Futures Products
- Foreign Exchange Products
- Both

3. Are you doing business under another name?

- Yes
- No

4. Type of Account:

- Speculative Account
- Hedge Account

5. Will this account be traded by a third party?

- Yes
- No

Ten Questions Application Survey

6. Check all of the following that apply to you:

- You have no previous Commodity Contract trading experience
- Annual salary (or income) below \$25,000
- Net worth below \$50,000
- You are over 65 years of age
- You are under 21 years of age
- None of the above applies

7. Will you be transferring your account from another firm?

- Yes
- No

8. Is any third party:

- Personally guaranteeing this account?
- A financial institution with a security interest in this account?
- None of the above

9. Is this a custodial account?

- Yes
- No

10. Are you?

- A U.S. Citizen
- A Canadian Citizen
- Neither a US nor a Canadian Citizen



U.S. Individual or Joint Accounts

CUSTOMER ACCOUNT APPLICATION
ALL INFORMATION MUST BE FURNISHED BY CUSTOMER

A. INDIVIDUAL / JOINT or SOLE PROPRIETORSHIP (Section E must also be completed)
 Check each box applicable: Individual Account Joint Account (Tenants in common) Hedge Account
 Sole Proprietorship Joint Tenancy (Right of Survivorship) Discretionary Account

Individual Name: _____ Joint Owner: _____

Sole Proprietorship Name: _____

Date of Birth (mm / dd / yy)	<input type="checkbox"/> Single <input type="checkbox"/> Married	Date of Birth (mm / dd / yy)	<input type="checkbox"/> Single <input type="checkbox"/> Married
Marital Status		Marital Status	
Social Security Number		Social Security Number	
No. of dependents		No. of dependents	
Address		Address	
City	State	Zip	
Home Phone	Work Phone	Home Phone	Work Phone
E-mail Address		E-mail Address	
Employer		Employer	
Position Held		Position Held	
Nature of Business		Nature of Business	
Work Address		Work Address	
City	State	Zip	

Transmit duplicate statements to (P.O. Boxes are not acceptable except for rural addresses):
 Name: _____
 Email Address: _____ City: _____ State: _____ Zip: _____
 Bank Reference: _____ Bank Officer: _____ City: _____ State: _____ Zip: _____
 Street: _____

- Do any of the owners of this account control the trading in any other ADMIS commodity account? Yes No
 If yes, please provide the name(s) and account number(s): _____
- Will this account be traded or managed by anyone else? Yes No
 If yes, please identify trader and attach a copy of power of attorney: _____
- Does any other person or entity have any financial interest in this account? Yes No
 If yes, please identify person(s) and state type of interest: _____
- Are you presently a Member on any Exchange or an AP of an FCM or IB? Yes No
 If yes, on which Exchange, type of Membership and the approximate date Membership became effective: _____

The foregoing information in Section A. is certified to be true and accurate as of this date.

X NAME (Signature) _____ X NAME (Signature) _____
 DATE _____ DATE _____

Application

Form W-9
 (Rev. 03-08) (OMB 1545-0047)
 Department of the Treasury
 Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give form to the requester. Do not send to the IRS.

Have (see shown on your income tax return)

Business name, if different from above

Check appropriate box: Individual Limited liability company Other (see instructions)

Address (number, street, City, state, and ZIP code)

List account number(s) here

Part I Taxpayer ID
 Enter your TIN in the appropriate backup withholding. For individual, sole proprietor, or direct your employer identification is. Note, if the account is in more than one name, enter the TIN for the primary name.

Part II Certification
 Under penalties of perjury, I certify that:
 1. The number shown on this form is my correct TIN.
 2. I am not subject to backup withholding because you have not been notified by the Internal Revenue Service (IRS) that you are subject to backup withholding, or (c) the IRS has notified me that I am not subject to backup withholding.
 3. I am a U.S. citizen or other individual who is not subject to backup withholding because you have not been notified by the Internal Revenue Service (IRS) that you are subject to backup withholding, or (c) the IRS has notified me that I am not subject to backup withholding.
 If you are a U.S. citizen or other individual who is not subject to backup withholding because you have not been notified by the Internal Revenue Service (IRS) that you are subject to backup withholding, or (c) the IRS has notified me that I am not subject to backup withholding, you must provide your correct TIN. See the instructions for more details.

Sign Here _____ Signature of U.S. person

General Instruction
 Section references are to the instructions for this form.

Purpose of Form
 A person who is required to report, for example, income, transactions, mortgage interest, or contributions you made to an IRA, must obtain your correct TIN to the person requesting it (the requester) and, when applicable, to the requester's partner, partner, or partner.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to the requester's partner, partner, or partner.

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
 2. Certify that you are not subject to backup withholding, or
 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' shares of effectively connected income.
 Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form to provide your TIN.

Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:
 • The U.S. owner of a disregarded entity and not the entity.

Form W-9 (Rev. 10-2007)

Must be uploaded, emailed or faxed to New Accounts Dept.

Form W-9



Corporate Accounts

CUSTOMER ACCOUNT APPLICATION

ALL INFORMATION MUST BE FURNISHED BY CUSTOMER

B. CORPORATE OR LIMITED LIABILITY COMPANY (LLC) (Section E must also be completed)
(Enclose Articles of Incorporation/LLC Agreement)

Check each box applicable: Hedge Account Discretionary

Name of Corporation/LLC: _____ Tax I.D.# _____

Corporate/LLC Account Title _____

State of Incorporation or Formation _____ Date of Incorporation or Formation _____

Address (Principal Office) _____

City _____ State _____ Zip _____ Country _____

Mailing Address (if different from Principal Office Address) _____

City _____ State _____ Zip _____ Country _____

Attention _____

Phone Number _____ Fax Number _____

E-mail Address _____

Name of each (Officer, Director, Employee or other party authorized to act with regard to this Account)

Transmit duplicate statements to (P.O. Boxes are not acceptable except for rural addresses)

1. Name _____

2. Email Address _____

3. Mailing Address _____

4. _____

5. _____

6. City _____ State _____ Zip _____

Purpose of business for which corporation/LLC is organized: _____

Gross revenues previous operating year: _____ Current Capitalization: _____

Initial Capitalization: _____ Bank Officer: _____

Street _____ City _____ State _____ Zip _____

• Does this Corporation/LLC control the trading in any other ADMIS commodity account? Yes No

If yes, please provide the name(s) and account number(s): _____

• Will this account be traded or managed by anyone else? Yes No

If yes, please identify trader and attach a copy of power of attorney: _____

• Does any other person or entity have any financial interest in this account? Yes No

If yes, please identify person or entity and state type of interest: _____

• Is this Corporation/LLC or any of its Principals or Affiliates presently a Member on any Exchange? Yes No

If yes, on which Exchange(s), type of Membership and the approximate date Membership became effective: _____

• Is Corporation/LLC a Futures Commission Merchant or Introducing Broker (as defined by the CFTC)? Yes No

If yes, please explain: _____

The foregoing information in section B. is certified to be true and accurate as of this date.

X SIGNATURE _____ X SIGNATURE _____

NAME, TITLE _____ NAME, TITLE _____

DATE _____ DATE _____

Application

Must be
uploaded,
emailed or
faxed to
New
Accounts
Dept.

CORPORATE RESOLUTION

I, _____ (Assistant) Secretary having the custody of the records of

_____ a Corporation organized and existing under the laws of the State of

_____ having its principal office at _____

(the "Corporation") DO HEREBY CERTIFY that at a meeting of the Board of Directors of said Corporation duly held on

_____ day of _____, 20____, the following resolutions were duly adopted, that said

resolutions have not been amended, rescinded or revoked and are in no way in conflict with any of the provisions of the

charter or membership agreement of said Corporation;

(1) RESOLVED: That each of the directors, officers, managers, employees and agents of this Corporation named below

is hereby authorized to trade in commodity futures, commodity options, forward contracts, foreign exchange, physical

or cash commodities, and exchange for physical ("EFP") or Exchange for Risk ("EFR") transactions (Collectively

"Commodity Contracts") for present or future delivery for the account and risk of this Corporation through and with the

firm of ADM Investor Services, Inc., maintaining offices at Chicago, Illinois, as said firm is now constituted or may be

hereafter constituted, the authority hereby granted including the power to do any and/or all of the following:

(a) To buy, sell and agree to buy and sell Commodity Contracts for present or future delivery, on margin or otherwise,

the power to sell including the power to sell "short";

(b) To buy and to grant put and call options on Commodity Contracts, and to exercise options and all other activities

in connection with options transactions;

(c) To deposit with and withdraw from said firm money, securities and other property for the purchase or sale of

Commodity Contracts, including options on futures contracts;

(d) To receive requests and demand for additional margin, notice of intention to sell or purchase and other notices

and demand of whatsoever character, including notices of exercise of options;

(e) To receive and acquiesce in the correctness of such notices statements of account and other records and

documents;

(f) To execute documents binding the Corporation and to open one or more trading accounts;

I do further certify that the signature opposite each name listed below is the true and genuine signature of each person

named:

Name _____ Title _____ Signature _____

and it was further

(2) RESOLVED: That any and all past transactions of any kind herein authorized, which may have been heretofore made

on behalf of this Company through or with said firm of ADM Investor Services, Inc., be and hereby are ratified,

and it was further

(3) RESOLVED: That ADM Investor Services, Inc. is authorized to act upon the authority of these resolutions until receipt

by it of a certificate showing rescission or modification thereof signed by the Secretary of this Corporation and under

its seal.

IN WITNESS WHEREOF I have hereunto subscribed my name and affixed the seal of said Company this

_____ day of _____, 20____

Corporate Resolution

(Assistant) Secretary

Unexpired Government Issued Photo ID Required

ID of signers

Articles of
Incorporation

LLC Accounts

CUSTOMER ACCOUNT APPLICATION

ALL INFORMATION MUST BE FURNISHED BY CUSTOMER

B. CORPORATE or LIMITED LIABILITY COMPANY (LLC) (Section E must also be completed) (Enclose Articles of Incorporation/LLC Agreement)

Check each box applicable: Hedge Account Discretionary

Name of Corporation/LLC: _____ Tax ID# _____

Corporation/LLC Account Title _____

State of Incorporation or Formation _____ Date of Incorporation or Formation _____

Address (Principal Office) _____

City _____ State _____ Zip _____ Country _____

Mailing Address (if different from Principal Office Address) _____

City _____ State _____ Zip _____ Country _____

Attention _____

Phone Number _____ Fax Number _____

E-mail Address _____

Name of each (Officer, Director, Employee or other party authorized to act with regard to this Account)

Transmit duplicate statements to (P.O. Boxes are not acceptable except for rural addresses)

1. Name _____

2. Email Address _____

3. Mailing Address _____

4. _____

5. _____

6. City _____ State _____ Zip _____

Purpose of business for which corporation/LLC is organized: _____

Gross revenues previous operating year: _____ Current Capitalization: _____

Bank Reference: _____ Bank Officer: _____

Street: _____ City: _____ State: _____ Zip: _____

• Does this Corporation/LLC control the trading in any other ADM/IS commodity account? Yes No

If yes, please provide the name(s) and account number(s): _____

• Will this account be traded or managed by anyone else? Yes No

If yes, please identify trader and attach a copy of power of attorney: _____

• Does any other person or entity have any financial interest in this account? Yes No

If yes, please identify person or entity and state type of interest: _____

• Is this Corporation/LLC or any of its Principals or Affiliates presently a Member on any Exchange? Yes No

If yes, on which Exchange(s), type of Membership and the approximate date Membership became effective: _____

• Is Corporation/LLC a Futures Commission Merchant or Introducing Broker (as defined by the CFTC)? Yes No

If yes, please explain: _____

The foregoing information in section B. is certified to be true and accurate as of this date.

X SIGNATURE _____ X SIGNATURE _____

NAME, TITLE _____ NAME, TITLE _____

DATE _____ DATE _____

Application

LIMITED LIABILITY COMPANY AUTHORIZATION

I, _____, being the _____ and having custody of the records of _____ a Limited Liability Company organized and existing under the laws of the State of _____, having its principal office at _____ (the "Company") DO HEREBY CERTIFY that at a meeting of the members of said Company duly held on the _____ day of _____, 20____, the following resolutions were duly adopted, that said resolutions have not been amended, rescinded or revoked and are in no way in conflict with any of the provisions of the charter or membership agreement of said Company.

- (1) RESOLVED: That each of the members, managers, employees and agents of this Company named below is hereby authorized to trade in commodity futures, commodity options, forward contracts, foreign exchange, physical or cash commodities, and exchange for physical ("EFP") or Exchange for Risk ("EFR") transactions (Collectively "Commodity Contracts") for present or future delivery for the account and risk of this Company through and with the firm of ADM Investor Services, Inc., maintaining offices at Chicago, Illinois, as said firm is now constituted or may be hereafter constituted, the authority hereby granted including the power to do any and/or all of the following:
- (a) To buy, sell and agree to buy and sell Commodity Contracts for present or future delivery, on margin or otherwise, the power to sell including the power to sell "short";
 - (b) To buy and to grant put and call options on Commodity Contracts, and to exercise options and all other activities in connection with options transactions;
 - (c) To deposit with and withdraw from said firm money, securities and other property for the purchase or sale of Commodity Contracts, including options on futures contracts;
 - (d) To receive requests and demand for additional margin, notice of intention to sell or purchase and other notices and demand of whatsoever character, including notices of exercise of options;
 - (e) To receive and acquiesce in the correctness of such notices statements of account and other records and documents;
 - (f) To execute documents binding the Company and to open one or more trading accounts.

I do further certify that the signature opposite each name listed below is the true and genuine signature of each person named:

Name	Title	Signature
_____	_____	_____
_____	_____	_____
_____	_____	_____

and it was further
(2) RESOLVED: That any and all past transactions of any kind herein authorized, which may have been heretofore made on behalf of this Company through or with said firm of ADM Investor Services, Inc., be and hereby are ratified, and it was further

(3) RESOLVED: That ADM Investor Services, Inc. is authorized to act upon the authority of these resolutions until receipt by it of a certificate showing rescission or modification thereof signed by the Secretary or member having custody of the records of this Company.

IN WITNESS WHEREOF I have hereunto subscribed my name this _____ day of _____, 20____.

(Authorized Signatory)
Issued Photo ID Required

LLC Authorization

Must be uploaded, emailed or faxed to New Accounts Dept.

ID of signers

LLC Agreement

Partnership Accounts

CUSTOMER ACCOUNT APPLICATION
ALL INFORMATION MUST BE FURNISHED BY CUSTOMER

C. PARTNERSHIP ACCOUNT (Section E must also be completed for each General Partner) (Enclose Partnership Agreement)

Check each box applicable: Hedge Account Discretionary

Name of Partnership: _____ Tax I.D.# _____

Check one General Business Partnership Account General Trading Partnership Account
 Limited Business Partnership Account Limited Partnership (Managed or Pooled) Account

Type of Business _____

Partnership Address (Principal Office) _____
City _____ State _____ Zip _____ Country _____

Mailing Address (if different from Principal Office Address) _____
City _____ State _____ Zip _____ Country _____

Attention _____
Phone Number _____ Fax Number _____
E-mail Address _____

Name(s) of each General Partner(s) _____
Transmit duplicate statements to (P.O. Boxes are not acceptable except for rural addresses)
1. Name _____
2. Email Address _____
3. Mailing Address _____
4. _____
5. _____
6. _____ State _____ Zip _____

Bank Reference: _____ Bank Officer: _____
Street _____ City _____ State _____ Zip _____

- Does this Partnership control the trading in any other ADMIS commodity account? Yes No
If yes, please provide the name(s) and account number(s): _____
- Will this account be traded or managed by anyone else? Yes No
If yes, please identify trader and attach a copy of power of attorney: _____
- Does any other person or entity have any financial interest in this account? Yes No
If yes, please identify person or entity and state type of interest: _____
- Is this Partnership (or any of the General Partners) presently a Member on any Exchange? Yes No
If yes, on which Exchange(s), type of Membership and the approximate date Membership became effective: _____
- Is Partnership a Futures Commission Merchant or Introducing Broker (as defined by the CFTC)? Yes No
If yes, please explain: _____

The foregoing information in section C. is certified to be true and accurate as of this date.

X SIGNATURE _____ X SIGNATURE _____
NAME, TITLE _____ NAME, TITLE _____
DATE _____ DATE _____

Application

Must be
uploaded,
emailed or
faxed to
New
Accounts
Dept.

PARTNERSHIP AUTHORIZATION

I, _____, a General Partner and having custody of the records of _____
_____ a partnership organized and existing under the laws of the State
of _____ (or Country of _____) (the "Partnership") DO HEREBY CERTIFY that:

(1) Each of the general partners, partners, employees and agents of this Partnership named below is hereby authorized to trade in commodity futures, commodity options, forward contracts, foreign exchange, physical or cash commodities, and exchange for physical ("EFP") or Exchange for Risk ("EFR") transactions (Collectively "Commodity Contracts") for present or future delivery for the account and risk of this Partnership through and with the firm of ADM Investor Services, Inc., maintaining offices at Chicago, Illinois, as said firm is now constituted or may be hereafter constituted, the authority hereby granted including the power to do any and/or all of the following:

- To buy, sell and agree to buy and sell Commodity Contracts for present or future delivery, on margin or otherwise, the power to sell including the power to sell "short";
- To buy and to grant put and call options on Commodity Contracts, and to exercise options and all other activities in connection with options transactions;
- To deposit with and withdraw from said firm money, securities and other property for the purchase or sale of Commodity Contracts, including options on futures contracts;
- To receive requests and demand for additional margin, notice of intention to sell or purchase and other notices and demand of whatsoever character, including notices of exercise of options;
- To receive and acquiesce in the correctness of such notices statements of account and other records and documents;
- To execute documents binding the Partnership and to open one or more trading accounts;

I do further certify that the signature opposite each name listed below is the true and genuine signature of each person named:

Name	Title	Signature
_____	_____	_____
_____	_____	_____
_____	_____	_____

It was further understood that any and all past transactions of any kind herein authorized, which may have been heretofore made on behalf of this Partnership through or with said firm of ADM Investor Services, Inc., be and hereby are ratified and that ADM Investor Services, Inc. is authorized to act upon the above until receipt by it of a written notification showing rescission or modification thereof signed by the General Partner having custody of the records of this Partnership.

ADM Investor Services, Inc. is hereby authorized to rely upon this Authorization until the same shall be revoked in writing or superseded by a like Authorization of a later date.

IN WITNESS WHEREOF I have hereunto subscribed my name this _____ day of _____, 20____.

General Partner

Expired Government Issued Photo ID Required

Partnership
Authorization

ID of signers

Partnership
Agreement

Trust Accounts

CUSTOMER ACCOUNT APPLICATION
ALL INFORMATION MUST BE FURNISHED BY CUSTOMER

D. TRUST ACCOUNT (Section E must also be completed)
(Enclose Trust Agreement)

Check each box applicable: Hedge Account Discretionary

Name of Trust: _____ Tax I.D.# _____

Trust Account Title			
Trust Number			Date of Trust Creation
Grantor of Trust			
Mailing Address			
City	State	Zip	Country
Attention			
Phone Number			Fax Number
E-mail Address			

Name(s) of each Trustee(s) <small>(or other person, authorized to act with regard to Trust account)</small>		*Transmit duplicate statements to (P.O. Boxes are not acceptable except for rural addresses)	
1.		Name	
2.		Email Address	
3.		Mailing Address	
4.			
		City	State Zip

If applicable, specify above whether all, one or any specific number of trustees are required to act on behalf of Trust

Bank Reference: _____ Bank Officer: _____
 Street: _____ City: _____ State: _____ Zip: _____

- Does this Trust control the trading in any other ADM/IS commodity account? Yes No
 If yes, please provide the name(s) and account numbers(s): _____
- Will this account be traded or managed by anyone else? Yes No
 If yes, please identify trader and attach a copy of power of attorney: _____
- Does any other person or entity have any financial interest in this account? Yes No
 If yes, please identify person or entity and state type of interest: _____
- Is this Trust or any of the Trustees a Member on any Exchange? Yes No
 If yes, on which Exchange(s), type of Membership and the approximate date Membership became effective: _____

The foregoing information in section D. is certified to be true and accurate as of this date.

X SIGNATURE _____ X SIGNATURE _____
 NAME, TITLE _____ NAME, TITLE _____
 DATE _____ DATE _____

Application

Must be
 uploaded,
 emailed or
 faxed to
 New
 Accounts
 Dept.

TO: ADM Investor Services,
 141 W. Jackson, Suite 1600A
 Chicago, IL 60604

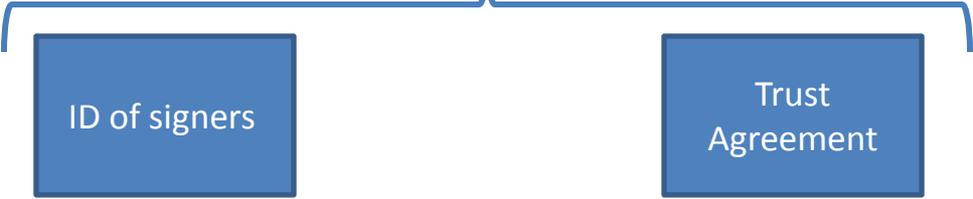
ATTN: Compliance Department

In connection with ADM Investor Services, Inc. establishing a commodity futures and options trading account for _____
(Name of Trust)

The undersigned Grantor(s), Settlor(s) and/or Trustee(s) hereby represent that the Trust is fully authorized to use the Trust's funds for commodity futures and options trading. The undersigned further agree to indemnify and hold ADM Investor Services, Inc., its officers, employees and agents harmless from any damages arising from any action or claim instituted in any forum that alleges the trust was not authorized to use Trust's funds for commodity futures and options trading.

Date: _____
 Grantor: _____
 Settlor: _____
 Trustee: _____

Trust Indemnification



ID of signers

Trust Agreement

Forex Accounts

As with futures/options, an individual forex account (with no special circumstances) can be done entirely online with the completion of the "All Applications" screens, Individual account screens and these screens.

RISK DISCLOSURE STATEMENT FOR FOREIGN EXCHANGE

The transactions you are entering into with ADM Investor Services, Inc. ("ADMIS") are not traded on an exchange. Therefore, under the U.S. Bankruptcy Code, your funds may not receive the same protections as funds used to margin or guarantee exchange-traded futures and options contracts, which receive a priority in bankruptcy. Since that same priority has not been given to funds used for off-exchange forex trading, if ADMIS becomes insolvent and you have a claim for amounts deposited or profits earned on transactions with ADMIS, your claim may not receive a priority. Without a priority, you are a general creditor and your claim will be paid, along with the claims of other general creditors, from any monies still available after priority claims are paid. Even customer funds that ADMIS keeps separate from its own operating funds may not be safe from the claims of other general and priority creditors.

1. Terms and Conditions of Contracts. Your account must be properly margined at all times and, accordingly, it may become necessary to deposit substantial additional funds. You shall provide and maintain margin in such amounts and in such forms as ADMIS, in its sole discretion, may require. Failure to maintain required margin may result in ADMIS' right, but not obligation, to liquidate any or all open positions in your account with a resultant loss. ADMIS has the right to change its margin policy at any time without prior notice to you. ADMIS reserves the right to close any positions at any time that it deems necessary. ADMIS retains the right to limit the amount and/or total number of open positions that you may acquire or maintain at ADMIS. You are responsible for placing your own stop loss orders to minimize losses. ADMIS' policy may result in liquidation losses substantially in excess of any margin requirement. Any failure by ADMIS to enforce its rights hereunder shall not be deemed a future waiver of such rights by ADMIS.

2. Commission and Other Charges. Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss. Fees may include such things as commissions, brokerage charges, statement charges, order cancellation charges, account transfer charges, telephone order charges, incidental banking related fees including wire charges for deposits/withdrawals and returned check fees, or fees imposed by any interbank agency, bank, contract market or other regulatory or self-regulatory organizations arising out of ADMIS' provision of services hereunder. Customer may incur additional fees for the purchase of optional, value added services offered by ADMIS.

Customer acknowledges that ADMIS may be the counterparty to foreign exchange transactions with Customer and that ADMIS may receive revenue through market making, involving foreign exchange transactions of Customer and others, through the difference between the bid and offer provided to Customer and others on foreign exchange transactions, and through markups and markdowns on foreign exchange positions that ADMIS enters into with other parties to the market. In foreign exchange transactions, ADMIS may enter into transactions with other parties to the market, including but not limited to, banks, swap counterparties, and other financial institutions. ADMIS may also trade on behalf of its customers.

I hereby acknowledge that I have received and understood this risk disclosure statement.

SIGNATURE _____ SIGNATURE _____
 NAME, TITLE _____ NAME, TITLE _____
 DATE _____ DATE _____

resource whereby you can check the background of various individuals and entities. BASIC contains Commodity Futures Trading Commission (CFTC) registration and NFA membership information and futures-related regulatory and non-regulatory actions contributed by NFA, the CFTC and the U.S. futures exchanges. You may either contact NFA's Information Center by phone at (312) 781-1410 or (800) 621-3370, or obtain this information from the National Futures Association's BASIC website: www.nfa.futures.org/basicnet/

4. Telephone Orders. ADMIS is not responsible for disruption, failure or malfunction of telephone lines.

5. Off-Exchange Transactions. Since ADMIS will be acting as your counterparty to the transaction, it may be difficult or impossible to liquidate an existing position, to assess the value, to determine a fair price or to assess the exposure to risk. For these reasons, these transactions may involve increased risks. Off-exchange transactions may be less regulated or subject to a separate regulatory regime. Before you undertake such transactions, you should familiarize yourself with applicable rules and attendant risks.

6. Quoting Errors. Should a quoting error occur due to a misquote or a misquote given by telephone and/or electronic means (including responses to customer requests), ADMIS is not liable for any resulting errors in account balances and reserves the right to make necessary corrections or adjustments to the account involved. Any dispute arising from such quoting errors will be resolved on the basis of fair market value as determined by ADMIS, in its sole discretion, of the relevant currency at the time such an error occurred. In cases where the prevailing market represents prices are different from prices ADMIS has posted on its platform screen, ADMIS will attempt, on a best effort basis, to execute trades on or close to the prevailing market prices. These prevailing market prices will be the prices, which are ultimately reflected on the Customer statements. This may or may not adversely affect Customer's realized and unrealized gains and losses.

THE FOREIGN CURRENCY TRADING YOU ARE ENTERING INTO IS NOT CONDUCTED ON AN EXCHANGE. ADMIS IS ACTING AS A COUNTERPARTY IN THESE TRANSACTIONS AND, THEREFORE, ACTS AS THE BUYER WHEN YOU SELL AND THE SELLER WHEN YOU BUY. AS A RESULT, ADMIS' INTERESTS MAY BE IN CONFLICT WITH YOURS. UNLESS OTHERWISE SPECIFIED IN YOUR WRITTEN AGREEMENT OR OTHER WRITTEN DOCUMENTS ADMIS ESTABLISHES THE PRICES AT WHICH IT OFFERS TO TRADE WITH YOU. THE PRICES ADMIS OFFERS MIGHT NOT BE THE BEST PRICES AVAILABLE AND ADMIS MAY OFFER DIFFERENT PRICES TO DIFFERENT CUSTOMERS.

IF ADMIS ELECTS NOT TO COVER ITS OWN TRADING EXPOSURE, THEN YOU SHOULD BE AWARE THAT ADMIS MAY MAKE MORE MONEY IF THE MARKET GOES AGAINST YOU. ADDITIONALLY, SINCE ADMIS ACTS AS THE BUYER AND SELLER IN THESE TRANSACTIONS, YOU SHOULD BE AWARE THAT ADMIS MAY TRADE RECOMMENDATIONS



ADM Investor Services, Inc.
 1600 A Board of Trade Building
 141 W. Jackson Boulevard
 Chicago, IL 60604
 T 312.242.7900 F 312.242.7945

In accordance with Commodity Futures Trading Commission's Regulation § 5.5, ADM Investor Services, Inc. ("ADMIS") hereby provides you with the following profitability table:

ADMIS FOREX	A REGULATORY DISCLOSURE			
	PERCENTAGE OF PROFITABLE ACCOUNTS			
	4th Quarter 2010	3rd Quarter 2010	2nd Quarter 2011	1st Quarter 2011
Profitable	20%	24%	27%	10%
Not-Profitable	81%	76%	73%	90%
Number of Accounts ¹	31	59	48	1

¹ Represents the total number of non-discretionary retail customer accounts maintained by ADMIS.

PAST PERFORMANCE IS NOT NECESSARILY INDICATIVE OF FUTURE RESULTS

Print Account Name _____ Date _____

Signature _____

Signature _____

Forex Account Profitability

Effective October 10, 2010, this account's will not be opened without acknowledgment of this disclosure.



ADM Investor Services, Inc.
 1600 A Board of Trade Building
 141 W. Jackson Boulevard
 Chicago, IL 60604
 T 312.242.7900 F 312.242.7945

ELIGIBLE CONTRACT PARTICIPANT

Customer Name _____

Customer Account Number _____

In order for you, as the customer, to qualify as an "Eligible Contract Participant" ("ECP") as defined by the Commodity Exchange Act Section 1a(12), you must represent to ADM Investor Services, Inc. ("ADMIS") that the above named Customer falls under one of the following categories noted below.

Please enter an "X" in the applicable box below that applies to the above named customer

The term "eligible contract participant" means:

(A) Acting for its own account--

(i) a financial institution;.....

(ii) an insurance company that is regulated by a State, or that is regulated by a foreign government and is subject to comparable regulation as determined by the Commission, including a regulated subsidiary or affiliate of such an insurance company;.....

(iii) an investment company subject to regulation under the Investment Company Act of 1940 (15 U.S.C. 80a-1 et seq.) or a foreign person performing a similar role or function subject as such to foreign regulation (regardless of whether each investor in the investment company or the foreign person is itself an eligible contract participant);.....

(iv) a commodity pool that--

(i) has total assets exceeding \$5,000,000; and

(ii) is formed and operated by a person subject to regulation under this chapter or a foreign person performing a similar role or function subject as such to foreign regulation (regardless of whether each investor in the commodity pool or the foreign person is itself an eligible contract participant);.....

(v) a corporation, partnership, proprietorship, organization, trust, or other entity--

(i) has total assets exceeding \$10,000,000;.....

ECP Form



SFP Accounts

As with futures/options , an individual SFP account (with no special circumstances) can be done entirely online with the completion of the “All Applications” screens, Individual account screens and this screen.

RISK DISCLOSURE STATEMENT FOR SECURITY FUTURES CONTRACTS

This disclosure statement discusses the characteristics and risks of standardized security futures contracts traded on regulated U.S. exchanges. At present, regulated exchanges are authorized to list futures contracts on individual equity securities registered under the Securities Exchange Act of 1934 (including common stock and certain exchange-traded funds and American Depositary Receipts), as well as narrow-based security indices. Futures on other types of securities and options on security futures contracts may be authorized in the future. The glossary of terms appears at the end of the document.

Customers should be aware that the examples in this document are exclusive of fees and commissions that may decrease their net gains or increase their net losses. The examples also do not include tax consequences, which may differ for each customer.

Section 1 – Risks of Security Futures

1.1. Risks of Security Futures Transactions

Trading security futures contracts may not be suitable for all investors. You may lose a substantial amount of money in a very short period of time. The amount you may lose is potentially unlimited and can exceed the amount you originally deposit with your broker. This is because futures trading is highly leveraged, with a relatively small amount of money used to establish a position in assets having a much greater value. If you are uncomfortable with this level of risk, you should not trade security futures contracts.

1.2. General Risks

Trading security futures contracts involves risk and may result in potentially unlimited losses that are greater than the amount you deposited with your broker. As with any high risk financial product, you should not risk any funds that you cannot afford to lose, such as your retirement savings, medical and other emergency funds, funds set aside for purposes such as education or home ownership, proceeds from student loans or mortgages, or funds required to meet your living expenses.

Be cautious of claims that you can make large profits from trading security futures contracts. Although the high degree of leverage in security futures contracts can result in large and immediate gains, it can also result in large and immediate losses. As with any financial product, there is no such thing as a “sure winner.”

Because of the leverage involved and the nature of security futures contract transactions, you may feel the effects of your losses immediately. Gains and losses in security futures contracts are credited or debited to your account, at a minimum, on a daily basis. If movements in the markets for security futures contracts or the underlying security decrease the value of your positions in security futures contracts, you may be required to have or make additional funds available to your broker or the firm immediately. If your account is under the minimum margin requirements set by the exchange or the brokerage firm, your position may

Risk Disclosure
SFP

1 of 25



Hedge Accounts

HEDGE ACCOUNT REPRESENTATION LETTER

Gentlemen:

I am applying for hedge margin rates since my/our futures transactions are an integral part of my/our business and are used to offset, or reduce risks of price fluctuation in cash commodities.

Explain the nature and extent of your business:

This is to certify that all transactions executed in the following commodities for the undersigned account will be for bona fide hedging purposes.

Commodities used for Hedging:

The undersigned ("Customer") represents to ADM Investor Services, Inc. ("ADMIS") that this account is carried with ADMIS for the specific and exclusive purpose of hedging commodities, as defined in Rule 1.32j of the Commodity Futures Trading Commission. The account will be treated accordingly by Customer with the understanding that Customer will notify ADMIS if any changes occur in Customer's treatment of the account. This account is subject to hedge margins and to other rules and regulations as prescribed for hedge accounts by the various commodity exchanges and the Commodity Futures Trading Commission. Customer may not exceed exchange speculative limits without applying for and receiving approval from the appropriate exchange.

X SIGNATURE _____ X SIGNATURE _____

NAME, TITLE _____ NAME, TITLE _____

DATE _____ DATE _____

In the unlikely event of Broker's bankruptcy, the undersigned

WOULD PREFER WOULD NOT PREFER

that Broker's bankruptcy trustee liquidate any open commodity contracts held in this account without instructions from the undersigned. (PLEASE SELECT PREFERENCE)

X SIGNATURE _____ X SIGNATURE _____

Hedge Letter

 **ADM INVESTOR SERVICES, INC.**

141 W. Jackson Blvd., Suite 1600A, Chicago, IL 60604 | email: newacct@admis.com | fax: 312.242.7151

Account No. _____

SECURITY AGREEMENT AND ASSIGNMENT OF HEDGING ACCOUNT

WHEREAS, the undersigned, _____, hereinafter called the "Debtor", whose address is _____ (No. _____) with the firm of ADM Investor Services, Inc., as brokers, hereinafter called the "Broker", whose address is Suite 1600A, 141 W. Jackson Blvd., Chicago, Illinois 60604, for hedging transactions in commodities futures contracts; and Debtor is now indebted to _____ hereinafter called the "Secured Party", whose address is _____ in _____ County, _____ and expects to incur additional indebtedness with the Secured Party for the purpose of financing further transactions in said contracts;

NOW, THEREFORE, it is agreed by and between the parties hereto as follows:

- Secured Party hereby agrees to advance to Debtor certain sums of money for the purpose of hedging his inventory of certain commodities. Debtor agrees to deposit said sums in an account with the commodity broker and agrees to employ said funds only for the purpose of making hedging transactions to protect his commodity inventory and shall not employ said funds for transactions in commodity futures.
- As additional security for the obligations of the Debtor to the Secured Party, and for monies which the Secured Party may hereafter loan or advance to the Debtor, the Debtor hereby assigns and transfers to the Secured Party all hedging funds accumulated or become withdrawable from or paid out of the hedging account of the Debtor, including any balance which may remain to the credit of said account upon the closing thereof, to the prior payment of all indebtedness of the Debtor to the Broker, as such to time, including fees and commissions, which may have been incurred in connection with transactions with Broker, and to the Broker's lien, and the right of foreclosure thereon, any indebtedness of Debtor to Broker (including any right of the Broker to close out or demand for additional margin and without prior notice).
- The Broker is hereby authorized and directed to pay to the Secured Party upon its demand hereafter be withdrawable or payable out of said account of the Debtor with the Broker, and he will not withdraw or attempt to withdraw any funds or other property except as permitted by this agreement. The Secured Party is hereby authorized without further authority from the Debtor to request the Broker to remit to the Secured Party any such funds as it shall be requested to demand, without the consent of or notice to the Debtor.

result of its acceptance of this instrument.

- As between the Debtor and the Secured Party, this instrument shall be binding upon the Debtor, and upon the Secured Party, or by the Debtor, the Secured Party. Any cancellation of this instrument shall be binding upon the Debtor, and upon the Secured Party, and the Broker is notified in writing by the Secured Party.
- The Debtor hereby represents and warrants to the Secured Party that the Debtor has not heretofore been alienated or assigned.
- This Agreement shall be binding upon the Debtor, and upon the Secured Party, and shall be binding upon and inure to the benefit of any successors in interest of the Debtor.

Page 2 of 3

Page 3 of 3

Security Agreement

Must be uploaded, emailed or faxed to New Accounts Dept.



Foreign Accounts

As with a U.S. accounts, a individual foreign account (with no special circumstances) can be done entirely online with the completion of the “All Applications” screens, Individual account screens (with a W-8BEN instead of the W-9) and these screens.

Form W-8BEN
 (Rev. February 2006)
 Department of the Treasury
 Internal Revenue Service

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding
 Section references are to the Internal Revenue Code. See separate instructions.
 Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1021

Do not use this form for:
 • A U.S. citizen or other U.S. person, including a resident alien individual.
 • A person claiming that income is effectively connected with the conduct of a trade or business in the United States.
 • A foreign partnership, a foreign simple trust, or a foreign grantor trust (see instructions for exceptions).
 • A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or other entity claiming the applicability of a treaty or that is a beneficiary of a treaty.
 • A person acting as an agent for another person.
 Note: These entities should claim they are a foreign person.

Instead, use Form W-9
 W-8EPC
 W-8ECP
 W-8BIMY

Part I Identification
 1 Name of individual
 2 Social Security number (do not enter if none)
 3 Type of beneficial owner
 grantor trust
 central bank of issue
 4 Permanent residence
 City or town, state, and ZIP+4[®]
 5 Mailing address (if different from 4)
 City or town, state, and ZIP+4[®]
 6 U.S. taxpayer identification number, if any (optional)
 8 Reference number(s) for this form

Part II Claim of Exemption
 9 I certify that (check one)
 a The beneficial owner is a resident of a country other than the United States and that country, and the income is claimed, and, if applicable, exempt from U.S. tax.
 b If required, the beneficial owner is a resident of a country other than the United States and that country, and the income is claimed, and, if applicable, exempt from U.S. tax.
 c The beneficial owner is a resident of a country other than the United States and that country, and the income is claimed, and, if applicable, exempt from U.S. tax.
 d The beneficial owner is a resident of a country other than the United States and that country, and the income is claimed, and, if applicable, exempt from U.S. tax.
 10 Special rates and treaty identified on Form 8833 if the treaty identified on Explain the reasons

Part III Notional Principal Contracts
 11 I have provided or will provide a statement that identifies those notional principal contracts from which the income is not effectively connected with the conduct of a trade or business in the United States. I agree to update this statement as required.

Part IV Certification
 Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:
 1 I am the beneficial owner (or an authorized signatory for the beneficial owner) of all the income to which this form relates.
 2 The beneficial owner is not a U.S. person.
 3 The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, and
 4 For broker transactions or dealer exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.
 Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

Sign Here
 Signature of beneficial owner or individual authorized to sign for the beneficial owner Date (MM-DD-YYYY) Capacity in which acting
 For Preparation of this form, see separate instructions. Form W-8BEN (Rev. 2-2006)

NOTICE TO FOREIGN TRADERS

This is to notify you of the requirements of Commodity Futures Trading Commission (“CFTC”) Regulations 15.05 and 21.03.

Regulation 15.05 Regulation 15.05 provides, among other things, that the CFTC will treat us as your agent for the service of any communication from the CFTC to you, unless you give us a written agreement designating another person domiciled in the United States as your agent for this purpose.

Regulation 21.03 Regulation 21.03 provides, among other things, that the CFTC may issue a special call to us for certain information concerning your account and transactions in your account if the CFTC determines that such information may be relevant in enabling it to determine whether a threat of a market manipulation, corner, squeeze or other market abuse exists in a particular market. This regulation sets forth the type of information that may be called for by the CFTC and includes such things as the identity of persons having an interest in the account, the futures and options positions carried in the account and various other matters. A person that fails to comply with a request for information is subject to penalties, including prohibitions against further trading activities in the futures and options involved.

Notice to Foreign Traders

Canadian Usage Agreement

ADM Investor Services did not directly or indirectly solicit, advertise to, initiate a communication with, or otherwise induce you to select or access the Online Account Application or any of its associated pages.

It is recommended that you print out a copy of this agreement and keep it for your records.

I acknowledge and accept.

Canadian Usage Agreement

©2010 ADM Investor Services. All rights reserved.



Special Circumstances

LETTER OF TRANSFER

Instructions to Transfer Account to ADM Investor Services, Inc.

Delivering Broker's Name		All Account Number(s) at Delivering Broker	
Main Address		1.	
		2.	
		3.	
City	State	Zip Code	

I (We) direct transfer of the above account(s) to ADM Investor Services, Inc., 141 West Jackson Blvd., Chicago, Illinois 60604-3190 subject to its approval and to compliance with the applicable laws. The Delivering Broker shall deliver to ADM Investor Services, Inc., all open positions, and securities held for the above account(s), pay ADM Investor Services, Inc., any net credit balance, and cancel any outstanding open orders. ADM Investor Services, Inc. shall notify the Delivering Broker promptly if the transfer is not approved for any reason. If transfer is approved, ADM Investor Services, Inc. shall pay the delivering Broker any net debit balance.

IF MORE THAN ONE PARTY IS TRANSFERRING ACCOUNT(S), ALL MUST SIGN.

<input checked="" type="checkbox"/>	SIGNATURE	<input type="text"/>	<input checked="" type="checkbox"/>	SIGNATURE	<input type="text"/>
	NAME, TITLE	<input type="text"/>		NAME, TITLE	<input type="text"/>
	DATE	<input type="text"/>		DATE	<input type="text"/>

Customer's Name		Account Number(s) at ADM Investor Services, Inc.	
Customer's Address		1.	
		2.	
		3.	
City	State	Zip Code	

Transfer



ADM Investor Services, Inc.
1600A Board of Trade Building
141 W. Jackson Boulevard
Chicago, IL 60604
T 312.242.7000 F 312.242.7045

Account No. _____

CUSTODIAL ACCOUNT AGREEMENT

THIS AGREEMENT made this _____ day of _____, 20____
between _____ (Custodian) and ADM Investor Services, Inc.
("ADMIS"), is as follows:

1) Custodian to be held in the name of _____ (Child's name, ages and social security number) _____ (Child's name and Social Security Number)

2) _____ agrees to indemnify, defend, save and account or for _____ with this account, and further agrees to standard Custodial under the terms of said Customer's ADMIS.

3) _____ this account are the

Must be
uploaded,
emailed or
faxed to
New
Accounts
Dept.

Custodian's Signature _____

Custodial Account



Special Circumstances

After review of the application the New Accounts Dept. may request a “Non-Solicitation” letter from the applicant. There forms for the various types of accounts (i.e.-individual, corporate, foreign, etc.)

To: ADM Investor Services, Inc.
141 W. Jackson Ste 1800A
Chicago, IL 60604

Attn: Compliance Department

In connection with ADM Investor Services, Inc. (“ADMIS”) establishing a foreign exchange account for _____ (the “Individual”), the undersigned hereby warrants and represents the following:

- All Funds deposited in the trading account represent the funds of the Individual and do not represent the funds of any other individuals or companies.
- The Individual or Joint Account Owners do not engage in the business of investing capital contributions from other participants in the foreign exchange markets.
- The Individual or Joint Account Owners are in compliance with such laws, rules and regulations applicable to such jurisdiction which it conducts such business or maintains investment accounts.
- The Individual or Joint Account Owners are not prohibited from trading in foreign exchange markets.
- The Individual or Joint Account Owners agree to immediately notify ADMIS should any of the foregoing representations change or prove untrue.

The Individual or Joint Account Owners shall indemnify and hold ADMIS and its officers, directors, shareholders, employees and affiliates harmless from and against all claims, demands, proceedings, suits, and actions and all losses (direct, indirect, or otherwise), liabilities, costs and expenses (including, without limitation, attorneys’ fees and disbursements), paid in settlement, incurred or suffered by ADMIS in connection with the representations made by the Individual herein. This indemnity shall survive the termination of the account(s) of the Individual with ADMIS.

Individual Name _____

Name: _____
Signature: _____
Date: _____

Name/Title: _____
Signature: _____
Date: _____

To: ADM Investor Services, Inc.
141 W. Jackson Ste 1800A
Chicago, IL 60604

Attn: Compliance Department

In connection with ADM Investor Services, Inc. (“ADMIS”) establishing a foreign exchange account for _____ (the “Individual” or “Joint Account Owners”), the undersigned hereby warrant and represents the following:

- All Funds deposited in the trading account represent the interest of the Individual or Joint Account Owners and do not represent the interest of any other individuals or companies.
- The Individual or Joint Account Owners do not engage in the business of investing capital contributions from other participants in the foreign exchange markets.
- The Individual or Joint Account Owners are in compliance with such laws, rules and regulations applicable to such jurisdiction which it conducts such business or maintains investment accounts.
- The Individual or Joint Account Owners are not prohibited from trading in foreign exchange markets.
- The Individual or Joint Account Owners agree to immediately notify ADMIS should any of the foregoing representations change or prove untrue.

The Individual or Joint Account Owners shall indemnify and hold ADMIS and its officers, directors, shareholders, employees and affiliates harmless from and against all claims, demands, proceedings, suits and actions and all losses (direct, indirect, or otherwise), liabilities, costs and expenses (including, without limitation, attorneys’ fees and disbursements), paid in settlement, incurred or suffered by ADMIS in connection with the representations made by the Individual or Joint Account Owners herein. This indemnity shall survive the termination of the account(s) of the Individual or Joint Account Owners with ADMIS.

Name: _____
Signature: _____
Date: _____

Name/Title: _____
Signature: _____
Date: _____

To: ADM Investor Services, Inc.
141 W. Jackson Ste 1800A
Chicago, IL 60604

Attn: Compliance Department

In connection with ADM Investor Services, Inc. (“ADMIS”) establishing a commodity futures account and/or foreign exchange account for _____ (the “Corporation,” “Partnership” or “LLC,” the undersigned officer(s) of the Corporation/Partnership/LLC hereby warrant and represents the following:

- All Funds deposited in the trading account represent proprietary funds of the Corporation/Partnership/LLC and do not represent the interest of any other individual or company.
- The Corporation/Partnership/LLC does not hold itself out as engaging in the business of investing capital contributions from other participants in the commodity futures markets and/or foreign exchange markets.
- No affiliate or subsidiary of the Corporation/Partnership/LLC holds itself out as engaging in the business of investing capital contributions from other participants in the commodity futures markets and/or foreign exchange markets.
- The Corporation/Partnership/LLC is in compliance with such laws, rules and regulations applicable to its business in such jurisdiction which it conducts such business or maintains investment accounts.
- The Corporation/Partnership/LLC is not prohibited from trading in commodity futures markets and/or foreign exchange markets.
- The Corporation/Partnership/LLC agrees to immediately notify ADMIS should any of the foregoing representations change or prove untrue.

The Corporation/Partnership/LLC shall indemnify and hold ADMIS and its officers, directors, shareholders, employees and affiliates harmless from and against all claims, demands, proceedings, suits and actions and all losses (direct, indirect, or otherwise), liabilities, costs and expenses (including, without limitation, attorneys’ fees and disbursements), paid in settlement, incurred or suffered by ADMIS in connection with the representations made by the Corporation/Partnership/LLC herein. This indemnity shall survive the termination of the account(s) of the Corporation/Partnership/LLC with ADMIS.

Name/Title: _____ Name/Title: _____
Signature: _____ Signature: _____
Date: _____ Date: _____

Name/Title: _____ Name/Title: _____
Signature: _____ Signature: _____
Date: _____ Date: _____

ADM Investor Services, Inc.
141 W. Jackson Ste 1800A
Chicago, IL 60604
T 312.367.7900 F 312.367.7905

ADM
A Subsidiary of Archer Daniels Midland Company

Canadian Non-Solicitation Statement

Please allow this letter to serve as my testimony to the fact that at no time have I been solicited by _____ (name of Broker) in regards to opening a commodities account.

I realize that because of Canadian Provincial securities law and regulation, it is necessary that I make this statement and I do so freely and completely without reservation.

(Customer Signature) _____ (Sole Holder Signature) _____

(Date) _____ (Date) _____

ADM Investor Services, Inc.
141 W. Jackson Ste 1800A
Chicago, IL 60604

Attn: Compliance Department

In connection with ADM Investor Services, Inc. (“ADMIS”) establishing a commodity futures account and/or foreign exchange account for _____ (the “Corporation,” “Partnership” or “LLC,” the undersigned officer(s) of the Corporation/Partnership/LLC hereby warrant and represents the following:

- All Funds deposited in the trading account represent proprietary funds of the Corporation/Partnership/LLC and do not represent the interest of any other individual or company.
- The Corporation/Partnership/LLC does not hold itself out as engaging in the business of investing capital contributions from other participants in the commodity futures markets.
- No affiliate or subsidiary of the Corporation/Partnership/LLC holds itself out as engaging in the business of investing capital contributions from other participants in the commodity futures markets.
- The Corporation/Partnership/LLC is in compliance with such laws, rules and regulations applicable to its business in such jurisdiction which it conducts such business or maintains investment accounts.
- The Corporation/Partnership/LLC is not prohibited from trading in commodity futures markets.
- The Corporation/Partnership/LLC agrees to immediately notify ADMIS should any of the foregoing representations change or prove untrue.

The Corporation/Partnership/LLC shall indemnify and hold ADMIS and its officers, directors, shareholders, employees and affiliates harmless from and against all claims, demands, proceedings, suits and actions and all losses (direct, indirect, or otherwise), liabilities, costs and expenses (including, without limitation, attorneys’ fees and disbursements), paid in settlement, incurred or suffered by ADMIS in connection with the representations made by the Corporation/Partnership/LLC herein. This indemnity shall survive the termination of the account(s) of the Corporation/Partnership/LLC with ADMIS.

Name/Title: _____ Name/Title: _____
Signature: _____ Signature: _____
Date: _____ Date: _____

Name/Title: _____ Name/Title: _____
Signature: _____ Signature: _____
Date: _____ Date: _____

Non-Solicitation Letters

BROKER APPROVAL SCREENS



Overview Applications

When you select “Online Application Admin” you will see the list of applications for your office. You can narrow the list of applications by searching for a specific customer name or applications with a certain status:

- Working – means the customer is still completing or revising the application
- Submitted – the customer has finished with the application
- Complete – ADMIS New Accounts has verified that all necessary information has been submitted
- Abandoned – Applications that had not been approved 100 days after the start date of the application

ADM INVESTOR SERVICES, INC.

Search Application By

Working Submitted
 Complete Abandoned

Legal Name: _____
Office: _____

Overview Selected Applications

Legal Name	Status	Ref. Broker	Broker Aprv'd	Off.	RR	Acct	Start Date	Last Active	Last User
Tony Gialanella	Completed						9/23/2011	9/26/2011	Tony Gialanella
Anthony Gialanella III	Submitted		9/22/2011				9/11/2011	11/7/2011	Tony Gialanella

Overview Applications
Review Selected Documents
Print Selected Document
View Application PDF
Broker Approval
Assign Office/Account Code
Change Application Status
Reset Account Password
Generate Application PDF
Upload Data Copy PDF
Copy Old PDF To Folder
Application Notification
View Notification History

MSG:

Review Selected Documents

- When you select an application from the “Overview Applications” screen you will see a listing of all the forms that made up that application. These forms will have been reviewed by the New Accounts Dept. for completeness.
- You can now review the application.


ADM INVESTOR SERVICES, INC.

Search Application By

Working Submitted

Complete Abandoned

Legal Name: Gialanella

Office:

[Overview Applications](#)

[Review Selected Documents](#)

[Print Selected Document](#)

[View Application PDF](#)

[Broker Approval](#)

[Assign Office-Account Code](#)

[Change Application Status](#)

[Reset Account Password](#)

[Generate Application PDF](#)

[Upload Data. Copy PDF](#)

[Copy Old PDF To Folder](#)

[Application Notification](#)

[View Notification History](#)

MSG:

Current Application (Survey Answers: 1.0, 2.0, 3.1, 4.0, 5.1, 6.0, 7.1, 8.2, 9.1, 10.0)

Legal Name	ID	Status	Broker Aprv'd	Off. RR	Acct	Start Date	Last Active	Uploaded	PDF Created
Anthony Gialanella III	359	Submitted	9/22/2011			9/11/2011	11/7/2011		9/22/2011

Review Application Documents

	Document	Status	Created	Updated	Admin
Edit Select	Ten Questions Application Survey	Online	9/11/2011		359
Edit Select	Individual/Joint or Sole Proprietorship	Online	9/11/2011	9/22/2011	64346
Edit Select	IRS Form W-9	Paper	9/11/2011	9/22/2011	64346
Edit Select	Confidential Credit Information	Online	9/11/2011	9/22/2011	64346
Edit Select	Customer Agreement	Online	9/11/2011	9/12/2011	64346
Edit Select	Risk Disclosure Statement For Futures And Options	Online	9/11/2011	9/12/2011	64346
Edit Select	Electronic Trading and Order Routing System Disclosure Statement	Online	9/11/2011	9/12/2011	64346
Edit Select	Additional Risk Disclosure	Online	9/11/2011	9/12/2011	64346
Edit Select	Arbitration	Online	9/11/2011	9/12/2011	64346
Edit Select	Application To Receive Online Account Access	Online	9/11/2011	9/12/2011	64346
Edit Select	Application to receive customer statement and information by email	Online	9/11/2011	9/12/2011	64346

Review Selected Documents

- When you click on “Select” next to a form, that form with all information entered by the applicant will appear in the bottom portion of the screen for you to review.
- When you click on “Edit” you will see the screen on the next page.


ADM INVESTOR SERVICES, INC.

Search Application By

Working Submitted

Complete Abandoned

Legal Name

Office

MSG:

Current Application (Survey Answers: 1.0, 2.0, 3.1, 4.0, 5.1, 6.0, 7.1, 8.2, 9.1, 10.0)

Legal Name	ID	Status	Broker Aprv'd	Off. RR	Acct	Start Date	Last Active	Uploaded	PDF Created
Anthony Gialanella III	359	Submitted	9/22/2011			9/11/2011	11/7/2011		9/22/2011

Review Application Documents

Document	Status	Created	Updated	Admin
Edit Select Ten Questions Application Survey	Online	9/11/2011		359
Edit Select Individual/Joint or Sole Proprietorship	Online	9/11/2011	9/22/2011	64346
Edit Select IRS Form W-9	Paper	9/11/2011	9/22/2011	64346
Edit Select Confidential Credit Information	Online	9/11/2011	9/22/2011	64346
Edit Select Customer Agreement	Online	9/11/2011	9/12/2011	64346
Edit Select Risk Disclosure Statement For Futures And Options	Online	9/11/2011	9/12/2011	64346
Edit Select Electronic Trading and Order Routing System Disclosure Statement	Online	9/11/2011	9/12/2011	64346
Edit Select Additional Risk Disclosure	Online	9/11/2011	9/12/2011	64346
Edit Select Arbitration	Online	9/11/2011	9/12/2011	64346
Edit Select Application To Receive Online Account Access	Online	9/11/2011	9/12/2011	64346
Edit Select Application to receive customer statement and information by email	Online	9/11/2011	9/12/2011	64346

A. Individual / Joint or Sole Proprietorship

Account Entity Individual Account Joint Account (Tenants in common) Joint Tenancy (Right of Survivorship) Sole Proprietorship

Account Type Hedge Account Discretionary Account

Individual

Joint Owner

Sole Proprietorship

Date of Birth (m/d/yyyy)

Marital Status Single Married

Social Security Number

No. of Dependents No. of Dependents

Information entered by applicant appears here

Assign Account Number

The final step in the approval process is to assign a “Salesman” code and an Account Number. The appropriate office code should already appear.

**ADM INVESTOR SERVICES, INC.**

Search Application By
 Working Submitted
 Complete Abandoned

Legal Name
Office

Current Application (Survey Answers: 1.0, 2.0, 3.1, 4.0, 5.1, 6.0, 7.1, 8.2, 9.1, 10.0)

Legal Name	ID	Status	Broker Aprv'd	Off. RR	Acct	Start Date	Last Active	Uploaded	PDF Created
Anthony Gialanella III	359	Submitted	9/22/2011			9/11/2011	11/7/2011		9/22/2011

Assign Account Number
Office
Salesman
Account

MSG:

**ADM INVESTOR SERVICES, INC.**

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Broker Approval

To “Approve” an account simply check the box and click “Save”.

 **ADM INVESTOR SERVICES, INC.**

Search Application By
 Working Submitted
 Complete Abandoned
Legal Name
Office

Current Application (Survey Answers: 1.0, 2.0, 3.1, 4.0, 5.1, 6.0, 7.1, 8.2, 9.1, 10.0)

Legal Name	ID	Status	Broker Aprv'd	Off. RR	Acct	Start Date	Last Active	Uploaded	PDF Created
Anthony Gialanella III	359	Submitted	9/22/2011			9/11/2011	11/7/2011		9/22/2011

Broker Approval
 As a listed principal of my firm I approve this account application for trading futures and options.
 As a listed forex principal of my firm I approve this account application for trading forex.

MSG:

Other Functions

The remaining functions allow you to print documents from the application or create a pdf file of the application for your records.

**ADM INVESTOR SERVICES, INC.**

Search Application By
 Working Submitted
 Complete Abandoned
Legal Name
Office

Current Application (Survey Answers: 1.0, 2.0, 3.1, 4.0, 5.1, 6.0, 7.1, 8.2, 9.1, 10.0)

Legal Name	ID	Status	Broker Aprv'd	Off. RR	Acct	Start Date	Last Active	Uploaded	PDF Created
Anthony Gialanella III	359	Submitted	9/22/2011			9/11/2011	11/7/2011		9/22/2011

MSG:

**ADM INVESTOR SERVICES, INC.**

ADMIS Contacts

- ❖ Tech Support 1-312-242-7299
- ❖ New Accounts 1-312-242-7150



Appendix

Forms Required by Account Type

INDIVIDUAL	JOINT	CORPORATE	LLC	PARTNERSHIP	TRUST
All Applications Received the Following Forms					
Welcome	Welcome	Welcome	Welcome	Welcome	Welcome
Electronic Signature Agreement					
Create Username					
Survey	Survey	Survey	Survey	Survey	Survey
Privacy Policy					
Risk Disclosure Futures & Options					
Customer Agreement					
Application	Application	Application	Application	Application	Application
Credit Information					
Application to Receive Online Access					
Application to Receive Customer Statement and Information by E-Mail	Application to Receive Customer Statement and Information by E-Mail	Application to Receive Customer Statement and Information by E-Mail	Application to Receive Customer Statement and Information by E-Mail	Application to Receive Customer Statement and Information by E-Mail	Application to Receive Customer Statement and Information by E-Mail
Arbitration	Arbitration	Arbitration	Arbitration	Arbitration	Arbitration
Form W-9		Corporate Resolution	LLC Authorization	Partnership Authorization	Trust Indemnification
		(ID of signers)	(ID of signers)	(ID of signers)	(ID of signers)
		(Articles of Incorporation)	(LLC Agreement)	(Partnership Agreement)	(Trust Agreement)

Highlighted forms must be completed manually
 Forms in () are external documents that must be provided

Appendix

Forms Required by Account Type

Additional Forms Based on Products to be Traded							
Forex	Risk Disclosure Forex ECP Form Forex Account Profitability	Forex					
SFP	Risk Disclosure SFP	Risk Disclosure SFP	Risk Disclosure SFP	Risk Disclosure SFP	Risk Disclosure SFP	Risk Disclosure SFP	SFP
Additional Forms Based on Other Characteristics of the Account							
Discretionary Account	Trading Authorization Exempt CTA Form Exempt CPO Form (ID of Trader-if not registered or exempt)	Trading Authorization Exempt CTA Form Exempt CPO Form (ID of Trader-if not registered or exempt)	Trading Authorization Exempt CTA Form Exempt CPO Form (ID of Trader-if not registered or exempt)	Trading Authorization Exempt CTA Form Exempt CPO Form (ID of Trader-if not registered or exempt)	Trading Authorization Exempt CTA Form Exempt CPO Form (ID of Trader-if not registered or exempt)	Trading Authorization Exempt CTA Form Exempt CPO Form (ID of Trader-if not registered or exempt)	Discretionary Account
Hedge Account	Hedge Letter Security Agreement	Hedge Account					
Foreign Account	Notice to Foreign Traders Form W-8BEN, in place of Form W-9	Notice to Foreign Traders Form W-8BEN, in place of Form W-9	Notice to Foreign Traders Form W-8BEN, in place of Form W-9	Notice to Foreign Traders Form W-8BEN, in place of Form W-9	Notice to Foreign Traders Form W-8BEN, in place of Form W-9	Notice to Foreign Traders Form W-8BEN, in place of Form W-9	Foreign Account
Other	Letter of Transfer Custodial Account Agreement Non-solicitation Letter	Other					

Highlighted forms must be completed manually
Forms in () are external documents that must be provided